

Action: New

BANNER GRANT FUND

Notes*

FRAGRNT FTMFUND Ramses Proposal: Ramses Project:

Title: Grant Type:

Dept: Resp. Organization: PI:

Sponsor Name: Sponsor Award#:

Category (Sponsor Type): Pass Through:

Prime Name: SubCat: Sources (Acct#):

Award Amount: Cost Share Amount: Cost Share Fund:

Supplement: Revised Budget:

IDC Rate: IDC Base:

CFDA #: Program: Receipt Method:

Start Date: End Date: NCX/Budget D/L: Final Rpt:

Budget	Account	Amount	Yes/No	Comments
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EPA Regular	911000	\$ 48,651.00	Budget Restrictions	No	S - Sponsor Approval Required
SPA Regular	912000	\$ 0.00	PreAward Spending	No	
Temp Emp	915000	\$ 146,238.00	Sub Award	No	
Benefits	918000	\$ 56,674.00	Faculty Effort	Yes	
Worker	919000	\$ 0.00	Course Release	No	
Purchasing	920000	\$ 0.00	Salary Buyout	No	
Travel	920100	\$ 0.00	Summer Salary	Yes	
Travel	920200	\$ 0.00	Equipment	No	3 - No Equipment Allowed
Contracted	921000	\$ 113,601.00	Foreign Travel	No	N - Not Authorized
Supplies	930000	\$ 84,836.00	Press Guidelines	Yes	
Capital	940000	\$ 0.00			
Equipment	945000	\$ 0.00			
Other	950000	\$ 0.00			
Indirect	954800	\$ 50,000.00			
Tuition	960000	\$ 0.00			

Addition of Expenditure Category: Sponsor Approval

Budget Total		\$ 500,000.00	Change in Scope of	Yes
			First No Cost	Yes
			Second and Subsequent	Yes
			Retention Time	3
Supplemental Pay:	<input type="text" value="AY - Supplemental Academic Year"/>		Program	NO

Research Type:

FFATA:

Prepared By:

CIP:

Approved By:

Chess:

Fund Set Up Billing Information

Sponsor Name: Breaking Code Silence

Agency Number (in 850609866 Bill Sequence

Bill Address 1: 1005 E Las Tunas Drive

Bill Address 2: Suite #104

Bill Address 3:

City: San Gabriel State: CA Zip: 91776

Bill Contact person: Vanessa Hughes

Email: email: vhughes@breakingcodesilence.org Phone:

Category: P- SubCategory P-

Pass Thru Agency 850586688

Pass Thru Agency Conrad N Hilton Foundation

Start Date: 09/15/2021 End Date: 09/14/2023 Receipt Method: F - Flat Charge

Final Invoice 30 days after end of Grant Final Bill Date: 10/14/2023

Bill Frequency: Monthly Bill Format: FIXD

Report Other Report null

Retainage Retainage Max Amt:

Fixed Bill Date	Fixed Amount
<u>02-28-2022</u>	<u></u>

Required Text on Paid in advance; internal invoice.email: vhughes@breakingcodesilence.orgReturn any remain
ing funding in excess of \$5,000.

Special Instructions: Racheal, please ask cashier's office to move \$500,000 from holding account.

REQUEST FOR ESTABLISHMENT OF A BANNER CONTRACT & GRANT

SECTION 1: TO BE COMPLETED BY THE DEPARTMENT REQUESTING THE

G58427	W	31520	School of Social Work
<u>Grant</u>	<u>Chart</u>	<u>ORGN</u>	<u>ORGN Name</u>

Breaking Code Silence
Source of Funds (Sponsor Name)

Breaking Code Silence
Title

Breaking Code Silence	Yes	Yes	09/15/2021
Short Title	Effort	IDC Encumbrance	Project Start

IDC Basis: TDC	IDC Rate	IC10	IDC Charge	IC4890	IDC Distr. To	IC0289
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BANNER Fund Access Needed(required for each user):

Last, First Name:	Kolbe, Athena R	Email:	Missing FOMPROF	@uncw.edu
				@uncw.edu
				@uncw.edu
				@uncw.edu

Approvals(Required):

<u>kolbea</u>	<u>kolomers</u>	<u>morriss</u>	<u>McKoy, Kimberly</u>
Budget Authority Email	Alternate Email 2	Alternate Email 3	Grant Officer Email
<u>Kolbe, Athena R</u>	<u>Carr, Michael D</u>	<u>02/15/2022</u>	
PI Name (Last, First)	Director of Sponsored Program and Research	Date	

SECTION 2: TO BE COMPLETED BY THE BILLING

584270

Fund

21

Fund Type

RS210

Predecessor

413170

Unbilled AR

102610

Revenue Account

23

Cash Receipt Bank

110 Organized Research

Program

413190

Billed AR Account

No

CFDARPTG-CFDA

null

FEDSOURC-Federal

N

INTERALL-
Allocatio

0210

NCAS-NCAS Class
Purpose Code

F - Flat Charge

RECMETH-Receipt
Method

RP0128

RESPERS-Responsible
Person Position

P - Private

REVSOURC
Revenue Source

Trust

RPTCODE -
Budget Code

30

UDMRPTC ITF Code

2130

FDM Fund

Westcott, Keith Alan

Office of the Controller signature (Required)

02/16/2022

Date

Compliance Approval Form

Sponsor Name:

Ramses Proposal:

Compliance Review Completed and Approved

Approval Status:

Compliance Expiration Date:

COMMENTS:

Contingent approval on funds so that PI can hire team to help her design the study and submit for IRB approval. PI may not use funds toward direct human subject research activities until IRB approval is obtained. PI has met COI requirements. No other compliance issues identified. Lee 2/14/22

Approved By:

Prete, Leanne



July 23, 2021

Vanessa Hughes
President and CEO
Breaking Code Silence
P.O. Box 91869
Pasadena, CA 91109

Re: Grant 27328
(Please reference this number when corresponding with us.)

Dear Vanessa Hughes,

It is a pleasure to inform you that the Conrad N. Hilton Foundation has approved a grant payable to Breaking Code Silence (Grantee; EIN 86-3319273) in the amount of \$500,000. Grant funds will be used over two years to increase access to quality psychosocial support and education for survivors of institutional abuse, establish survivors as a population in research and establish an evidence-based approach for mental health treatment.

Please submit a signed copy of this grant agreement to the Foundation (GMDepartment@HiltonFoundation.org) indicating Grantee's agreement with the following terms and conditions.

1. Purpose: This grant, and any interest income earned thereon, will be used as described in Grantee's application dated June 16, 2021, as well as any approved revisions. While this grant is to be restricted, and intended for use for the purposes described in the grant proposal, this grant award shall not be deemed to be contingent or conditioned upon the accomplishment of any specific, measurable barrier, unless that condition is specifically identified in the space provided here.
2. Grant Period: Upon receipt by the Foundation of this signed grant agreement, the grant period shall begin on August 01, 2021, and extend until July 31, 2023, at which time, all funds, including any income derived therefrom should be fully expended. This grant shall be considered active until the final report has been approved.
3. Payment and Reporting Schedule: This grant is payable in one installment upon receipt of this signed grant agreement. Please see the table below for the payment and reporting schedule.

Please submit reports to the Foundation at GMDepartment@HiltonFoundation.org; enter grantee name, grant number, and type of report in subject line.

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Reporting Period	Report Due	Expected Submission	Associated Payment
		Return of signed grant agreement	\$500,000
8/1/21 – 7/31/22	8/31/22	Acceptable Year 1 progress narrative and financial reports	
8/1/21 – 7/31/23	9/30/23	Acceptable Year 2 and cumulative progress narrative and financial reports	

4. **Grant Modifications:** Any changes to the terms of this grant agreement, including but not limited to changes to the approved budget, the scope of work, objectives, outcomes and other deliverables, the grant period, or payment and reporting schedule, must be approved in writing in advance by the Foundation. Please contact your program officer to initiate a grant modification request.
5. **Account:** Grantee agrees to maintain complete and accurate accounting of all expenditures made under this grant to enable the Foundation to easily determine how the grant funds, including any interest earned thereon, were spent. Grantee also agrees to retain these records during the grant period and until the final report is received and approved by the Foundation. During this time, if requested, Grantee shall make records available at reasonable times to the Foundation (or its designated representative) for inspection or audit at the Foundation's expense.
6. **Tax Status:** Grantee warrants that it is exempt from income tax under Section 501(c)(3) of the Internal Revenue Code and is not a private foundation as described in Section 509(a). Any change in this status shall be communicated to the Foundation immediately. Grantee further warrants that this grant will not result in it becoming a private foundation under the public support test, if such test is applicable.
7. **Lobbying and Non-Permitted Uses:** This grant is not in any way earmarked to support or carry on any lobbying or voter registration activity. Grantee warrants that none of these funds will be used to influence legislation unless permitted by law.
8. **Anti-Terrorism and Re-Granting:** Grantee agrees that these funds will be used in compliance with all applicable anti-terrorism financing and asset control laws and regulations and that none of these funds will be used to support or promote violence, terrorist activity or related training, or money laundering. Re-granting is not permitted unless specifically approved. Grantee is required to cross-check all sub-grantees approved for re-granting against the terrorism watch lists designated by the U.S. Treasury Office of Foreign Assets Control (OFAC) and refrain from providing financial or material support to any listed individual or organization.
9. **Publicity:** The Foundation requests that in any publicity given this grant, acknowledgement be made that funding was received from the Conrad N. Hilton Foundation, using its complete name. The proposed publicity must be approved by the Foundation prior to its release. Please consult our online resources for communicating about your grant (<http://www.hiltonfoundation.org/grants/grantees>) and submit the proposed press material to the program officer assigned to this grant. Once available,

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please supply the Foundation with a copy of the finalized material.

The Foundation's Communications team may request permission to gather and use photos or stories to share that relate to this grant. Please provide contact information for a communications liaison:

_____	_____
Name	Title
_____	_____
Email	Phone


10. Compliance: Failure to comply with any of the terms of this grant agreement, and any subsequent agreed upon modifications, may result in one or more of the following.
 - (a) Termination of the grant.
 - (b) Suspension of future grant payments until Grantee demonstrates compliance.
 - (c) Grantee's immediate reimbursement to the Foundation of the amount of any Foundation grant funds expended for purposes not previously approved by the Foundation.
 - (d) Grantee's immediate reimbursement to the Foundation of all unexpended Foundation grant funds.

11. Jurisdiction: This grant agreement shall be governed and construed in accordance with the laws of the State of Nevada, U.S.A. applicable to contracts made in such State without regard to conflicts of law doctrines, and the parties agree that jurisdiction and venue for any dispute regarding this grant agreement will be in such State.

It is the policy of the Conrad N. Hilton Foundation to discourage beneficiaries of its grants from making gifts to Foundation personnel, giving honoraria in any form, or sending plaques or other memorabilia. The Foundation prefers that the cost of such items not be incurred by a recipient agency.

The Foundation appreciates your organization's cooperation in preparing for this grant and we extend our best wishes for its successful implementation.

Sincerely,

DocuSigned by:

 EFC84BA87342404...
 Peter Laugharn
 President & CEO

PL:AG

c: Rebecca Mellinger, Impact Producer, This is Paris and Duty Free
Allison Gister, Conrad N. Hilton Foundation

Breaking Code Silence
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Accepted:

Vanessa Hughes
President and CEO
Breaking Code Silence

Date

Cost	Project Component	Cost
A. Key Personnel Athena Kolbe, PI – Years 1-2 Effort: 0.6 person months AY, 1.44 person months SM Fringe (39.84% AY, 30.33% SM)	Peer education and support (70%); Education (8%); Administration (2%); CRM data collection/analysis (20%)	\$65,975
B. Other Personnel: Post-Doc, TBD – Years 1-2 Effort: Year 1, 10 person months Year 2, 4 person months Fringe (42.98%)	Peer education and support (50%); Education (8%); Administration (2%); CRM data collection/analysis (20%); Management & oversight of Peer Support and supervision of CRM educators (20%)	\$111,207
B. Other Personnel: (1) Masters Student – Year 1 Rate: \$15/hr Hours: 19/week AY, 20/week SM Weeks: 36 AY, 10 SM (2) Masters Students – Years 1-2 Rate: \$15/hr Hours: 20/week AY and SM Weeks: 36 AY, 10 SM	(1) Master student Peer education model development and support (50%); Research data management (50%) (2) Masters students Peer education model development and support (40%); Education of mental health professionals (20%); Clinical Interviews, assessment, and report writing (40%)	\$74,382
C. Materials/Supplies Peer Development Curriculum – Year 1	Peer education model Curriculum	\$10,000
C. Materials/Supplies CRM Training Certification – Year 1	Peer education and support	\$74,836
D. Other Purchased Services Project Administrator/Coordinator – Year 1	External service to coordinate multi-site project implementation	\$10,000
D. Other Purchased Services 2 Clinical Psychologist Researcher and Supervisors- Clinical Screening – Year 1	Evaluation and screening of CRM leaders (clinical interviews, report writing and supervision); Education of clinicians in peer support model; Peer education model development	\$52,000

D. Other Purchased Services		
CRM Consultants	Peer Support and CRM educators (stipend for CRM classes)	\$23,500
D. Other Purchased Services		
CRM Consultants	Education of mental health professionals	\$17,000
E. Participant Incentives		
	Participant subsistence and travel allowances	\$11,100
F. Indirect Cost		
	10% of the total award (\$500,000), 11.111% on Total Direct Costs	\$50,000
		TOTAL \$500,000

3- and 5-Year Budget Notes:

Complete (fill in or select) information in the blue-shaded cells applicable to the project.

Cells are already formatted; there is no need to add commas or \$ & % symbols. This may interfere with correct calculation.

Do add decimal points in fringe rate and SM.

There is a scratch sheet for additional calculations, if needed.

Personnel

Cost of living (COL) salary increases: to factor a salary increase in any budget year, select the percent of increase in the COL column AND indicate when the COL is applicable to specific personnel.

The default is consistent effort throughout the project duration, but it can be changed.

The translation of effort into person months for academic year (AY), AY and summer months (SM), and calendar year (CY) is provided.

The Person Months Conversion sheet is an additional resource, if needed. Enter the percent effort in the first cell (marginally).

Senior Personnel

Line 1: Select the appointment type (9, 10, 11, or 12 months)

OR select "9 & 3" for an AY appt. with consistent % effort throughout AY and SM AND adjust base salary to 12 months.

Be sure to check if a CY appointment is for 10, 11 or 12 months.

Be sure to verify whether CY appointment includes research time.

Line 2: Enter the # SM if effort includes summer months or percent effort and summer months.

Time-Limited Salaried/Flat Rate Personnel

Enter the number of students/personnel in the applicable categories.

Graduate students are typically paid a flat rate during the AY (Consult the Dept. Admin. about the appropriate rate); in the AY column.

Graduate student flat rate covers 20 hours and equates to 100% effort.

Fringe Benefits

Use the Fringe Calculator sheet to determine fringe benefit rates associated with faculty and staff salaries.

Fringe Calculator: Use the academic year (AY) and/or summer (SM) table as applicable. If the retirement option isn't known, use the default.

The current standard fringe benefit rate for students and hourly staff is 9%.

Travel

Use the Travel Budget sheet to estimate travel expenses. The totals auto-populate in the budget sheets.

See the Travel Budget Notes sheet.

Other Direct Costs

The quantities and unit costs are calculated for each year.

Tuition

Click the link for current UNCW tuition rates.

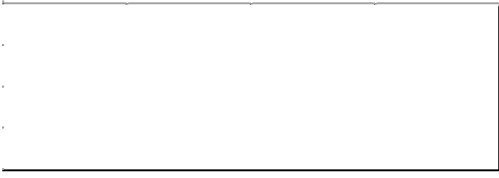
Indirect Costs

Course Buyout
12.5% AY

Select the applicable exclusions.

Enter the F&A rate (UNCW or sponsor rate) and relevant notes.

Refer to the F&A linked definitions and guidelines (to be added).



Agreement for Research Activities

THIS AGREEMENT (“Agreement”), made and entered into the 15th day of September, 2021 (“Effective Date”), by and between Breaking Code Silence, a California non-profit organization doing business as Breaking Code Silence with offices at 1005 E Las Tunas Drive #104 San Gabriel CA, 91776, USA (hereinafter referred to as “Sponsor”), part of the first, and University of North Carolina at Wilmington, a constituent institution of the University of North Carolina System, having principal offices at 601 S. College Road, Wilmington, NC 28403 (hereinafter referred to as “Contractor”), part of the second.

WHEREAS, University of North Carolina at Wilmington, acting as an independent contractor, is an experienced State of North Carolina institution of higher education and shall provide said research activities in a professional manner in accordance with the standards of all similar institutions of higher education.

WHEREAS, Sponsor wishes to enter into a contractual agreement for research activities with University of North Carolina at Wilmington as explained in section 3 below.

NOW THEREFORE, in consideration of the mutual agreements described below, the parties agree as follows:

1. **Term of Agreement** – The term of this Agreement shall be for a period of 2 years, beginning September 15, 2021 and ending September 14, 2023.
2. **Compensation** – As compensation for the services outlined within this Agreement, the Sponsor shall pay the Contractor the amount of \$500,000. This amount will be paid to Contractor as a one-time payment as outlined in the Budget attached as Appendix B. Payments shall be made within 30 days of Sponsor receipt of invoice. This compensation total is based upon an estimated budget to complete the project, prepared by Contractor. Any residual funding up to \$5,000 will not be returned to the Sponsor at the end of the term of the Agreement. Contractor will return to Sponsor any residual funding in excess of the \$5,000 cap at the end of the term.

In consideration of Contractor agreeing to perform the Scope of Work (as outlined in Appendix A), Sponsor agrees to pay Contractor the amount of compensation in accordance with the Scope of Work as set forth in the Budget (Appendix B). Contractor shall not be obligated to incur costs or expend funds to conduct the Statement of Work in excess of the total amount paid by Sponsor under this Agreement.

If, at any time during the term, expenditures in good faith are expected to exceed the total Budget, then Contractor may request additional funds from Sponsor, which Sponsor may elect to provide at its discretion. In the event Sponsor declines to provide additional funding to Contractor reasonably necessary to complete the Scope of Work, then the Contractor shall be under no obligation to continue performance under the Statement of Work, and shall have no further obligations to Sponsor, except that Contractor shall deliver to Sponsor such work that has been completed by Contractor through the date Contractor ceases performance.

3. **Responsibilities of the Contractor:** The Contractor hereby agrees to provide the following services as outlined in Appendix A - Scope of Work to the Sponsor, within the stated time frame.

Contractor will supply project reports to the Sponsor as necessary for the Sponsor to complete any reporting requirements under Sponsor’s prime funding agreement (Appendix C).

4. **Insurance:** Sponsor understands Contractor is a state agency and constituent institution of the University of North Carolina, and as such the Contractor is a self-insured entity as required by and set forth in state

statute (NCGS 143-291 et. Seq.). The State's Self-Insurance coverage is governed by state statute, which currently applies to:

All individuals currently employed by or working for the state and covered by the Defense of State Employees Act;

Documented Volunteer Workers;

Agents of the State; and

Individuals previously employed by the State and covered by the Defense of State Employees Act and the policy during their period of employment with the State or University

Subject to and consistent with the Tort Claims and the Defense of State Employees Acts, the State's coverage applies to covered occurrences arising from the negligence for employees (covered persons above) for losses resulting in bodily injury or property damage. There are two layers of coverage under the Defense of State Employee Act:

1. State Tort Claims Act Self-Insurance limits up to \$1,000,000 cumulative coverage for all claimants as an aggregate arising from a single covered occurrence;
2. Applicable Excess Liability coverage for all covered persons for liability in excess of \$1,000,000 to a maximum of \$2,000,000 per occurrence and \$10,000,000 annual aggregate.

The foregoing coverage applies to errors and omissions that are committed by any State employees in the execution of their duties which result in bodily injury, property damage, or other loss. All employees are covered by Workman's Compensation coverage. The State's motor vehicle insurance covers employees driving state vehicles for all university related business.

As a state agency and constituent institution of the University of North Carolina System, the Contractor has in effect adequate insurance or self-insurance for professional liability, comprehensive general liability, commercial automobile liability, and worker's compensation.

5. Independent Contractor: Nothing contained in this Agreement shall be construed as establishing a partnership or joint venture relationship between Contractor and Sponsor. The Contractor is and shall be deemed to be an independent contractor in the performance of this contract and as such shall be wholly responsible for the work to be performed and for the supervision of its employees. The Contractor represents that it has, or shall secure at its own expense, all personnel required in performing the services under this Agreement. Such employees shall not be employees of, or have any individual contractual relationship with, the Sponsor.
6. Subcontracting: The Contractor shall not subcontract any of the work contemplated under this contract without prior written approval from the Sponsor. Approval is deemed to be received if subcontractors are included in the attached budget. Any approved subcontract shall be subject to all conditions of this contract. The Agency shall not be obligated to pay for any work performed by any unapproved subcontractor. Contractor shall be responsible for the performance of all its subcontractors.
7. Assignment: No assignment of the benefits or obligations of Contractor or Sponsor identified in this Agreement shall be permitted unless otherwise agreed to by the parties in writing.
8. Indemnification: The liability of Contractor for bodily injury, property damage or any other matter sounding in tort is determined solely in accordance with the provisions, procedures, and limits of the North Carolina Tort Claims Act, N.C.G.S. 143-291, et seq. Sponsor hereby agrees to hold harmless Contractor, including its agents, employees, and officers from and against any loss, cost, or damage of

any kind (including reasonable attorneys' fees) to the extent arising out of Sponsor's breach of this Agreement or negligence in Sponsor's performance of this Agreement.

9. Copyrights and Ownership of Deliverables: All materials, documents, data, and reports used or produced in the performance of the Statement of Work pursuant to this Agreement shall be and remain jointly owned by Contractor and Sponsor. Key personnel on this agreement as defined in Section 16 who are no longer employed with Contractor may request access to data for continuing research and publication purposes, such request to not be unreasonably denied by Contractor and/or Sponsor.
10. Compliance with Laws: The Contractor and Sponsor shall comply with all laws, ordinances, codes, rules, regulations, and licensing requirements that are applicable to the conduct of its business, including those of federal, state, and local agencies having jurisdiction and/or authority. The parties shall further comply with all applicable UNCW policies.
11. Equal Employment Opportunity: The Contractor shall comply with all federal and state laws relating to equal employment opportunity.
12. Choice of Law: The validity of this Agreement and any of its terms or provisions, as well as the rights and duties of the parties to this Agreement, are governed by the laws of North Carolina. The parties, by signing this Agreement, agree and submit, solely for matters concerning this Agreement, to the exclusive jurisdiction of the courts of North Carolina. The place of this Agreement and all transactions and agreements relating to it, and their situs and forum, shall be New Hanover County, North Carolina.
13. Intellectual Property: Any intellectual property, including, without limitation, software, source code, marks formulae, algorithms, concepts, methodology, techniques, inventions, or the expression or method of use of it, that Contractor, and any of its agents, employees, or contractors, creates by the performance of this Agreement, hereby vests jointly in and shall be the property of Contractor and Sponsor.
14. Amendment: This Agreement shall not be amended orally or by performance. Any amendment must be made in written form and executed by duly authorized representations of the Sponsor and the Contractor.
15. Notices: Any notice or other communication required or permitted under this Agreement shall be in writing and shall be deemed to have been given on the date delivered personally, emailed or deposited in the United States Postal Service, certified mail, return receipt requested, with adequate postage affixed, address as follows:

Breaking Code Silence

Vanessa Hughes

President

1005 E Las Tunas Drive #104

San Gabriel, CA 91776

Phone: 714-618-8191

Email: vhughes@breakingcodesilence.org

University of North Carolina at Wilmington

Kati Chipps

Director, Sponsored Programs

601 S. College Road

Wilmington, NC 28403

Phone: 910-962-3810

Email: aor@uncw.edu

16. Key Personnel: The Contractor shall not replace any of the key personnel assigned to the performance of this contract without the prior written approval of the Sponsor. The term "key personnel" includes any and all persons identified as such in the agreement and any other persons subsequently identified as key personnel by the written agreement of both parties. For the sake of clarity, the only key personnel at Contractor is Dr. Athena Kolbe.

17. Right to Publish: It is anticipated that the Research Results will be published or presented jointly by the parties, or independently by either party subject to the following conditions:

For a joint publication, each party shall provide the other with ample opportunity for prior review and comments. The parties agree to abide by the policies of the applicable journals and presentation organizers as to such matters as the public release or availability of data related to the Publication. Authorship of Publications will be determined in accordance with appropriate scientific and academic standards and customs. Proper acknowledgement will be made for the contributions of each party to the Research.

For an independent publication, the disclosing party shall provide to the non-disclosing party a complete copy of any proposed Publication at least sixty (60) days prior to submission for publication or presentation for prior review and comment by the non-disclosing party. Upon the non-disclosing party's written request, the disclosing party will delay presentation of the Publication for up to sixty (60) additional days to permit patent filings. Upon the non-disclosing party's written request, the disclosing party shall delete, anonymize, or otherwise obfuscate any of the non-disclosing party's Information from the proposed Publication. Furthermore, the non-disclosing party may withhold its name in connection with such Publication; otherwise, proper acknowledgment shall be made for the contributions of each party to the Research.

For an independent publication where the Contractor is the disclosing party, the Contractor reserves the right to publish findings of the work associated with this project regardless of positive or negative outcomes. Authorship or academic credit for publications and presentations will be determined in accordance with appropriate scientific and academic standards and customs. Proper acknowledgement will be made for the contributions of each party and personnel to the Research. For the sake of clarity, key personnel on the project should be properly acknowledged on any publication or presentation.

18. Force Majeure: Neither party shall be liable to the other for any delays in the performance or observance of its obligations caused by events beyond its reasonable control, including but not limited to acts of God, fire, action of the elements, epidemics, war (declared or undeclared), piracy, civil war, strikes, or differences with workers (other than the parties own employees), delays by suppliers of equipment and parts, acts of the public enemy, federal, provincial, state or international laws, rules and regulations of any governmental authorities having, or assuming, jurisdiction, or any other cause beyond the reasonable control of either party.

19. Entire Agreement: This agreement contains the entire agreement between the parties pertaining to the subject matter of this agreement. With respect to that subject matter, there are no promises, agreements, conditions, inducements, warranties, or understandings, written or oral, expressed or implied, between the parties, other than as set forth or referenced in this agreement.

IN WITNESS WHEREOF, the parties have executed this Agreement in their official capacities with legal authority to do so.

Breaking Code Silence

University of North Carolina at Wilmington

Date: 12/23/2021

Date: 12/23/2021 —

DocuSigned by:
Vanessa Hughes
3ED5309377F0486...
Vanessa Hughes, President
Authorized Organizational Representative

DocuSigned by:
Michael Carr
C07DE8F312CC4CA...
Michael Carr, Assistant Director SPARC
Authorized Organizational Representative

Read and Acknowledge by UNCW PI:

DocuSigned by:
Athena R Kolbe 12/23/2021
3F4FA671E919452...
Dr. Athena Kolbe

Targeted Need

The “Troubled Teen Industry” includes an expansive network of residential programs and facilities which claim to treat, reform, or rehabilitate youth who need additional care due to family circumstances, traumatic experiences, disabilities, social problems, medical conditions, or learning differences. States, school districts, county social service providers, tribal authorities, struggling parents, and the federal government rely on these private for-profit institutions to provide residential care for these youth.

More than 120,000 children are placed in institutional care each year. Nearly all belong to one or more vulnerable groups: people with mental or physical difficulties, children involved in juvenile justice, special education, and/or child welfare systems, lesbian/gay/bisexual/ transgender (LGBTQ) identified, or members of a Native American tribe. An additional vulnerability includes those of cultural or racial ethnic background (for example, a third of the children sent to out of state TTI facilities by the State of California in 2019 were Black or Latino, though this population comprises only 6% of California's special education students).

Separated from the protective oversight of their families, institutionalized youth are particularly vulnerable to neglect, maltreatment, and/or violence. Multiple Government Accountability Office investigations have identified systemic issues within these programs that played a significant role in the abuse and deaths of youth, including:

- Basic human rights violations and inhumane, degrading discipline
- Inappropriate restraints (physical, mechanical, and chemical) and social isolation rooms
- Forcing sedatives or psychiatric medication without psychiatric evaluation
- Denial of proper nutrients, outdoor recreation, and critical medical care
- Substandard or restricted education
- Conversion and aversion therapy
- Severe restrictions of communication and access to parents, lawyers, and advocates
- Restricted peer to peer relationships
- Sexual assault, harassment, and grooming by staff and peers
- Financial opportunism and deceptive marketing

Minimal and poor regulation, lack of consistent policies, inconsistent oversight, and an overreliance on profit-driven mental health companies have all contributed to an environment where youth are systematically abused in the very institutions that purport to care for them.

Adult survivors of institutional child abuse have remarkably poor outcomes. These negative impacts are numerous, interconnected, and pervasive. They may be diagnosed with post-traumatic stress disorder, addiction, and other mental health problems. Survivors are more likely to both commit crimes and be crime victims as adults. Deaths by suicide, murder, and overdose for this population are well above the national norms. As adults, survivors are more likely to have physical health problems due to the complex trauma endured. The educational and economic trajectories of survivors are also impacted. In addition, familial support is often not available for survivors.

Peer Education and Support

As a result of traumatic experiences in the context of purported mental health programs, TTI alumni are often reluctant to seek psychosocial assistance from traditional mental health programs, as programs are perceived as untrustworthy or threatening. Therefore, implementing survivor-led opportunities circumvents the need to establish clinical rapport. During the first year of this pilot project, 50 survivors will be recruited and vetted through a comprehensive assessment and interview process to be trained

as peer educators using the Community Resilience Model (CRM). CRM is an established and researched peer education training model widely used by community leaders and paraprofessionals to assist community members in coping with trauma and crisis. Peer educators will provide education and psychosocial support to cope with past trauma and current crises to a minimum of 500-1,000 survivors each year. Utilizing an evidence-based social support model (e.g. Alcoholics Anonymous), daily virtual 75-minute sessions will be available to those of all ages within the international survivor community. Through a secure online tool, data will be collected and additional information, including an emergency contact number and physical location, will be required when signing up.

The purpose of this pilot program is to train and empower survivor leaders to teach survivors self-applied interventions to decrease trauma symptoms and increase functioning as they navigate their career, relationships, and more. Peer educators will:

- Educate survivors about trauma and identify its neurophysiological impact on the mind and body
- Teach concrete tools and skills to re-regulate the nervous system in response to emotional cues
- Provide opportunities for social support and relational engagement between participants

An additional 15 survivors will be trained as peer support specialists using a curriculum specifically designed to focus on the needs of institutional child abuse survivors. Peer support specialists have been incorporated into the mental health recovery systems of care since the 1970s and have a strong evidence base for effective treatment delivery with hard-to-reach populations, including former foster youth, veterans, refugees, sexual assault and trafficking survivors, and people experiencing homelessness. Each specialist will serve an estimated 80 individuals annually over two years, assisting peers with navigating the adult mental health system, accessing needed services from local agencies, obtaining documents and records, securing housing and employment, transportation back to their home state after aging out of institutional care, transition to adulthood planning, life skills coaching, and access to emergency assistance.

Peer support specialists and peer educators will receive a stipend and serve, for a minimum of 8 hours per month, for two years. They will receive biweekly supervision from a trained and licensed mental health practitioner. Additionally, a licensed social worker and clinically trained staff will be on hand to support and encourage the specialists and educators and to provide direct assistance with complex cases and situations.

Education of Mental Health Professionals

A series of introductory, beginning, intermediate, and advanced training courses will be created to educate mental health and substance abuse professionals, medical providers, and child/youth/community service providers on the needs, experiences, and common challenges reported by the TTI survivor population. This type of training does not currently exist. Despite standard curricula mentioning that institutionalized abuse populations exist, public health, counseling, nursing, recreational therapy, occupational therapy, and psychology students receive no instruction regarding this population. Students who complete an MSW in clinical social work practice receive less than 15 minutes of instruction during their two-year program on the problem of abuse in institutional care and no instruction on how to respond to the trauma this causes.

Participants will be guided in empowering methods for engaging with this population in a way that promotes dignity and self-determination of survivors. Topics will include ethical concerns, trauma-informed care, the physical and psychological sequela of torture/institutional abuse common among TTI survivors, treatment protocols, and strategies for empowering psychological interventions with survivors. Key concepts will be introduced in discipline specific language which builds connections to established research and existing professional knowledge. Opportunities for continuing education units and certification of the training will be provided to encourage participation from a wide variety of

professionals. We plan to engage professionals through word of mouth, presentations and panels at psychotherapy conferences, and publications.

Professionals who complete a set number of training hours will be invited to apply to be “endorsed” by the project. This process will include a panel interview, background check, agreement to adhere to ethical standards, and ongoing contact to assure that those vetted are committed to providing high quality support services to survivors. A referral list of endorsed professionals will be provided online and used by our peer support specialists and peer educators when referring survivors for specialized or intensive care.

Research

The project as a whole will be evaluated during and after the realization of project outputs to assure that the aim of the project was met, and that key metrics were achieved. Data collection for CRM participants and Peer Case Management groups will be assessed for an increase or decrease in functioning, mental health symptoms (PTSD, mood, anxiety, depression), utilization of public resources, contribution to society, utilization of medical care, interactions with police or social services, utilization of food stamps, and more. During the program assessment period, we will also identify the reliability of the CRM model to see if it drastically reduced symptoms and increased functioning. The CRM groups will be compared to the control group receiving Peer Case Management. This evaluation process will serve to build on and expand our professional understanding of evidence-based trauma interventions with this particular community, contributing to the growing body of published works on evidence-based interventions for trauma survivors.

As part of the research of this project, Contractor agrees to host psychology post-doctoral personnel. The details on the number and effort of post-doctoral personnel will be agreed upon between Contractor and Sponsor. UNCW PI is responsible for following HR and state recruitment guidelines for all personnel hired under this project.

Appendix B – Budget

Invoice Date	Payment Due Date	Payment Amount
January 1, 2022	January 30, 2022	\$500,000

Appendix C – Funding agreement from Prime Sponsor to Sponsor